09-27-04 xpress Mail No. EV522679331US Attorney Docket No. 108298515US3 Disclosure No. 00-1130.00/US PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-00321 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/888,002 TRANSMITTAL Filing Date June 21, 2001 **FORM** First Named Inventor Whonchee Lee Art Unit 3723 (to be used for all correspondence after initial filing) **Examiner Name** Dung V. Nguyen **Total Number of Pages in This Submission** 108298515US3 Attorney Docket Number **ENCLOSURES** (Check all that apply) Fee Transmittal Form (+ copy) After Allowance communication ☐ Drawing(s) to Group Fee Attached ■ Licensing-related Papers Appeal Communication to Board Amendment/Reply Petition of Appeals and Interferences After Final Petition to Convert to a Appeal Communication to Group Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation Proprietary Information Extension of Time Request Change of Correspondence Address Status Letter Express Abandonment Request Terminal Disclaimer Other Enclosures: Information Disclosure Statement Request for Refund 1) Form PTO-1449 2) Cited Reference (1) Certified Copy of Priority CD, Number of CD(s) ___ 3) Return Receipt Postcard Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	John M. Wechkin Registration No. 42,216	<u> </u>			
Signature	m.				
Date	Sen. 23	2004			

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Signature Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

^{*} Number of Pages does not include Cited Reference



Signature

Attorney Docket No. 108298515US3 Disclosure No. 00-1130.00/US

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 09/888,002 - Conf. No. 9049 **Application Number** June 21, 2001 Filing Date for FY 2004 Whonchee Lee First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. Dung V. Nguyen **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 3723 Art Unit 108298515US3 TOTAL AMOUNT OF PAYMENT Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Money 3. ADDITIONAL FEES Check Other Deposit Account: Х Small Entity Large Entity Deposit 50-0665 Fee Fee Fee Fee Δες οι int Fee Description Code (\$) Code (\$) Fee Paid Deposit 1051 130 2051 65 Surcharge - late filing fee or oath Perkins Coie LLP Name Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) X Credit any overpayments Charge fee(s) indicated below 1053 130 1053 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination X Charge any additional fee(s) or any underpayment of fee(s) Requesting publication of SIR prior to ... 1804 920 1804 Charge fee(s) indicated below, except for the filing fee Examiner action-Requesting publication of SIR after to the above-identified deposit account. 1805 1.840 1805 Examiner action **FEE CALCULATION** 1251 110 2251 Extension for reply within first month 2252 1. BASIC FILING FEE 1252 420 Extension for reply within second month 210 Large Entity Small Entity 1253 2253 Extension for reply within third month 950 475 Fee Description Fee Paid 1254 1,480 2254 Extension for reply within fourth month Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee 1255 2,010 2255 1,005 Extension for reply within fifth month 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 770 2004 385 1403 290 2403 1004 Reissue filing fee 145 Request for oral hearing 1005 160 2005 80 Provisional filing fee 1451 1.510 1451 1.510 Petition to institute a public use proceeding 1452 110 2452 Petition to revive - unavoidable 55 SUBTOTAL (1) (\$) 0.00 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) 1502 480 2502 Design issue fee Fee Paid Claims pelow 2503 Total Claims 1503 640 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Multiple Dependent 180 1806 Submission of Information Disclosure Stmt 180.00 1806 Large Entity Small Entity Recording each patent assignment per Fee Description 8021 40 8021 (\$) Code (\$) Code property (times number of properties) Filing a submission after final rejection ... 2202 1202 18 Claims in excess of 20 1809 770 2809 385 (37 CFR 1.129(a)) 1201 86 2201 43 Independent claims in excess of 3 For each additional invention to be 770 2810 385 1810 2203 1203 290 145 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) 1204 86 2204 Reissue independent claims 1801 770 2801 43 385 Request for Continued Examination (RCE) over original patent Request for expedited examination 1802 900 1802 1205 18 2205 ** Reissue claims in excess of 20 of a design application and over original patent Other fee (specify) 180.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) SUBTOTAL (2) (\$) 0,00 **or number previously paid, if greater; For Reissues, see above SUBMITTED BY (Complete (if applicable)) tration No. Jønn M. Wechkin 42,216 (206) 359-3257 Name (Print/Type) Telephone

Date

2004

Attorney Docket No. 108298515US3 Disclosure No. 00-1130.00/US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

N RE APPLICATION OF:

WHONCHEE LEE ET AL.

APPLICATION NO.:

09/888,002

FILED:

JUNE 21, 2001

FOR: METHODS AND APPARATUS FOR **ELECTRICALLY AND/OR CHEMICALLY-**MECHANICALLY REMOVING CONDUCTIVE MATERIAL FROM A MICROELECTRONIC

SUBSTRATE

EXAMINER:

DUNG V. NGUYEN

ART UNIT:

3723

CONF. NO:

9049

Supplemental Information Disclosure Statement After First Office Action but Before Final Action or Notice of Allowance after the Filing of a Request for Continued Examination Under 37 C.F.R. § 1.114 – 37 C.F.R. § 1.97(c)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed after the mailing date of the first Office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, but before the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 [37 C.F.R. § 1.97(c)(2)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. **Cited Information**

 \boxtimes Copies of the following references are enclosed:

> All cited references \boxtimes

References marked by asterisks

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

09/27/2004 EAREGAY1 00000089 09888002

Attorney Docket No. 108298515US3 Disclosure No. 00-1130.00/US

4. Fee Payment (37 C.F.R. § 1.97(c)) or Certification (37 C.F.R. § 1.97(e))

- Applicant elects to pay the fee under 37 C.F.R. § 1.17(p) \$180.00.

 - Please charge the above fee(s) to Deposit Account No. 50-0665 this paper is provided in triplicate.
 - Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-0665.

Date: 500.23 2004

Respectfully submitted, Perkins Coie LLP

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SP 2 1 25 Express Mail No. EV522679331US

Sheet

Attorney Docket No. 108298515US3 Disclosure No. 00-1130.00/US

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 (Modified) (Use several sheets if necessary)

of

COMPLETE IF KNOWN				
Application Number	09/888,002			
Confirmation Number	9049			
Filing Date	June 21, 2001			
First Named Inventor	Whonchee Lee			
Group Art Unit	3723			
Examiner Name	Dung V. Nguyen			
Attorney Docket No.	-108298515US3			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	1	d Code known)	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,624,300		Kishii et al.	04/29/1997	

EXAMINER		DATE CONSIDERED			
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in				
L/O WINT VET V.	·				
	conformance and not considered. Include copy of this form with next communication to application(s).				
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